

NATIONAL TAIWAN UNIVERSITY

Directives Governing the Evaluation, Merger, and Closure of University-Level Research Centers

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| November 24, 2015 | Passed by the 2882 nd Administrative Meeting |
| November 22, 2016 | Passed by the 2927 th Administrative Meeting |
| February 19, 2019 | Amended and passed by the 3030 th Administrative Meeting |
| May 7, 2019 | Amended and passed by the 3040 th Administrative Meeting |
| November 23, 2021 | Amended and passed by the 3107 th Administrative Meeting |
| August 22, 2022 | Article 2 amended via NTU official letter Hsiao Mi Tzu No. 1110063582 |
| May 23, 2023 | Amended and passed by the 3,146 th Administrative Meeting |
| May 31, 2023 | Promulgation of the amended Articles 1 through 5 |

Article 1 National Taiwan University (NTU or "the University") formulates the *NTU Directives Governing the Evaluation, Merger, and Closure of Research Centers* ("the Directives") in accordance with Article 9 of the *NTU Guidelines for the Establishment of University-Level Functional Research Centers* to handle matters related to the evaluation, merger, and closure of functional University-level research centers ("the research center" or, collectively, "the research centers").

Article 2 The evaluation procedures for the research centers are as follows:

1. Each research center shall submit an annual report along with a multi-year operational plan to its advisory/consultative committee for written review, and request its advisory/consultative committee to convene an evaluation meeting every three years following the timeline set by the University.
2. The advisory/consultative committee shall review the written report. Upon receiving the review feedback, the research center shall detail its specific achievements and areas of improvement in the next annual report.
3. When the advisory/consultative committee convenes evaluation meetings, they shall evaluate the operational performance of the research center. The research centers shall respond as appropriate to the performance evaluation feedback. They shall outline specific results or improvement measures in the subsequent annual report, and elaborate on the specific results obtained from the feedback during their next advisory/consultative committee evaluation meeting.
4. The evaluation results are ranked in three categories: Pass, Conditional Pass, and Fail.
5. If a research center has passed the evaluation for the year by domestic or international evaluation institutions such as the Ministry of Education, the National Science and Technology Council, the Ministry of Health and Welfare, or institutions recognized by them, it shall be considered to have passed the evaluation. However, the research center shall still submit an annual report along with a multi-year operational plan to its advisory/consultative committee for written review.

6. Advisory/consultative committees may recommend the closure of research centers that fail the evaluation or receive a conditional passing status for two consecutive evaluations.

Article 3 Research centers meeting any of the following conditions may initiate the merger procedures:

1. A merger is deemed necessary based on the feedback received as per Article 2, Subparagraph 3.
2. The research center deems a merger necessary and has obtained approval from an Executive Vice President after providing specific reasons.

The Executive Vice President shall consult with the heads of the research centers designated for merger and relevant units regarding the merger plan in the preceding paragraph. The plan shall then be submitted for deliberation and approval to the Administrative Meeting.

Article 4 Research centers meeting any of the following conditions may initiate the closure procedures:

1. Closure is recommended by the advisory/consultative committee as per Article 2, Subparagraph 6.
2. The research center deems closure necessary and has obtained approval from an Executive Vice President after providing specific reasons.
3. The research center fails to request the advisory/consultative committee to convene an evaluation meeting within the timeline set by the University for three and a half years.

The Executive Vice President shall consult with the heads of the research center designated for closure and relevant units regarding the closure plan in the preceding paragraph. The plan shall then be submitted for deliberation and approval to the Administrative Meeting.

Article 5 Research centers designated for closure by the Administrative Meeting shall promptly manage the cessation of their tasks and transfer of personnel, properties, and projects within one year.

Article 6 Matters not addressed herein shall be subject to the applicable regulations of the University.

Article 7 The Directives shall be passed by the Administrative Meeting and then implemented on the date of promulgation.