

NATIONAL TAIWAN UNIVERSITY

Guidelines for the Establishment of University-Level Functional Research Centers

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April 11, 2023	Promulgation of the amended Articles 1, 2, 3, 5, 6, 7 and 8
December 12, 2023	Passed by the 3159 th Administrative Meeting
December 28, 2023	Promulgation of the amended Articles 3 through 8 and 11 through 12

Article 1 National Taiwan University (NTU or "the University") formulates the *NTU Guidelines for the Establishment of University-Level Functional Research Centers* ("the Guidelines") to provide the foundation for establishing and operating functional University-level research centers ("the research center" or, collectively, "the research centers"), in order to consolidate and facilitate resources allocated to focused research endeavors within the University.

Article 2 The purposes of the research centers are as follows:

1. To consolidate and facilitate focused research endeavors and establish collaborative research spaces or laboratories.
2. To foster international, inter-university, and interdisciplinary focused research collaborations.
3. To recruit and nurture research talent both domestically and internationally.

Article 3 Any of the following requirements shall be met to establish a research center:

1. The research center is established in accordance with Article 6, Paragraph 1 of the University Act.
2. The research center is established in collaboration with outstanding research institutions not affiliated with a university, embodying the spirit of Article 6 of the University Act.
3. The research center is established to conduct a significant research project commissioned by a government agency.
4. The research field spans at least two colleges.
5. The research field is internationally renowned.
6. The research center is established by the University for its own research purposes or official duties.

The research center shall recruit its own staff, arrange its space, and collect its budget.

Research centers established under Paragraph 1, Subparagraph 3 shall be dissolved within 2 years after the research project commissioned by the government agency is concluded.

Article 4 To establish a research center, an establishment proposal and regulations shall be submitted to the Review Committee ("the Committee") formed to assess the establishment of proposed research centers for assessment and approval, and then deliberated upon and passed by the Administrative Meeting.

The establishment proposal shall include the following:

1. Rationale for establishing the research center
2. Current conditions of NTU
3. Purposes of establishing the research center
4. Mission statement
5. Goals and objectives
6. Staffing and organizational structure
7. Planning and coordination
8. Development strategies
9. Space allocation plans
10. Development assessment
11. Budget management procedures

The establishment regulations shall include the following:

1. Purposes of establishing the research center
2. Mission statement
3. Establishment, staffing, and missions of its advisory/consultative committee
4. Organizational, operational, and management structure
5. Terms of office and appointment procedures for heads
6. Regulations for convening center affairs meetings
7. Regular evaluations of operational performance of the research center.

Each research center may establish its own specific rules.

Article 5 The Committee shall comprise 6-8 members, including one of the Executive Vice Presidents and the Vice President for Research and Development as ex officio members. The remaining positions shall be served by internal or external experts or scholars recommended and appointed by the President. The Committee shall deliberate on matters related to the establishment of the research center.

The Executive Vice President shall serve as the convener and chair of the Committee in the preceding paragraph.

The Committee shall assess with care the necessity for establishing the research center, considering factors including but not limited to the potential for merging the research field with existing research centers at NTU, competition or collaboration with similar domestic or on-campus units, and the unique contributions that only the new research center can provide.

Article 6 The research center shall have a director appointed by the President from among NTU professors with relevant expertise, to oversee its operations. However, an individual may not simultaneously serve as the Director for more than one research center.

The research center may appoint 1-3 associate directors to assist the director with its operations.

The director shall serve a three-year term, and may serve one consecutive term.

In the event that the position of director is left vacant during the term, an acting director may be appointed by the President from NTU professors with relevant expertise. However, the acting director may only serve for a maximum duration of one year.

Exceptions to the preceding four paragraphs may be made under special circumstances with approval by the President.

Article 7 The research center may form its own research panel and set up its own research room(s) or center(s).

Article 8 The research center shall form an advisory/consultative committee with at least three members. One of the Executive Vice Presidents shall serve as the ex officio member and convener. The remaining positions shall be served by internal or external experts or scholars with relevant expertise, recommended and appointed by the President. External members shall constitute at least half of the committee.

The advisory/consultative committee shall convene a meeting annually or conduct written assessments to offer advice on the research center's operational affairs and evaluate its performance.

Article 9 The regulations governing the evaluation, merger, and closure of the research centers shall be stipulated separately.

Article 10 Matters not addressed herein shall be subject to the applicable regulations of the University.

Article 11 The Guidelines shall be passed by the Administrative Meeting and then implemented on the date of promulgation.